

## Notes 4

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# Black Holes

## General idea

Let us consider  $ds$  for test particle in rest, i.e. put  $dr = d\theta = d\phi = 0$ , in this case

$$ds^2 = g_{00}dx^{0^2},$$

If  $g_{00} = 0$  then  $ds^2 = 0$ , which means that the world line of particle in rest is the world line of light, hence at the surface  $g_{00} = 0$  no particle with finite rest mass can be in rest. Thus the surface  $g_{00} = 0$  is the limit of stationarity.

Let us consider a surface  $F(r) = \text{const}$  and let  $n_i = F_{,i}$  is its normal.

If  $g^{11} = 0$  then

$$g^{ik}n_in_k = g^{11}n_1n_1 = 0,$$

which means that  $n_i$  is null vector and any particle with finite rest mass can not move outward the surface  $g^{11} = 0$ , thus this surface is the event horizon.

## Schwarzschild Black Holes

**Schwarzschild metric has the following form:**

$$ds^2 = \left(1 - \frac{r_g}{r}\right) c^2 dt^2 - \frac{dr^2}{\left(1 - \frac{r_g}{r}\right)} - r^2 (\sin^2 \theta d\phi^2 + d\theta^2),$$

where

$$r_g = 2GM/c^2 = 3(M/M_\odot) \text{ km, where } M_\odot \text{ is the mass of Sun}$$

is **the gravitational radius**.

**There is no singularity at  $r = r_g$ :**

Using the coordinate transformations

$$c\tau = ct + \int \frac{r_g^{1/2} r^{1/2} dr}{r - r_g}, \quad R = ct + \int \frac{r^{3/2} dr}{r_g^{1/2} (r - r_g)}$$

we can show that the Schwarzschild metric is not singular at  $r = r_g$  and takes the following form

$$ds^2 = c^2 d\tau^2 - \frac{r_g}{r} dR^2 - r^2 (d\theta^2 + \sin^2 \theta d\phi^2).$$

Indeed, by differentiating

$$cd\tau = cdt + \frac{r_g^{1/2} r^{1/2} dr}{r - r_g}, \quad dR = cdt + \frac{r^{3/2} dr}{r_g^{1/2} (r - r_g)},$$

and then subtracting the first from the second we have

$$dR - cd\tau = \frac{dr}{r - r_g} \left( \frac{r^{3/2}}{r_g^{1/2}} - r_g^{1/2} r^{1/2} \right) =$$

$$= \frac{r^{1/2}dr}{(r-r_g)r_g^{1/2}}(r-r_g) = \left(\frac{r}{r_g}\right)^{1/2} dr,$$

hence

$$dr = \left(\frac{r_g}{r}\right)^{1/2} (dR - cd\tau).$$

Subtracting the first multiplied by  $r/r_g$  from the second we have

$$\frac{r}{r_g}cd\tau - DR = cdt\left(\frac{r}{r_g} - 1\right),$$

hence

$$cdt = \frac{crd\tau - r_gdR}{r - r_g}.$$

Then substituting the expressions for  $dr$  and  $cdt$  into  $ds^2$  in the Schwarzschild form we obtain

$$\begin{aligned} ds^2 &= \frac{r-r_g}{r} \left( \frac{rcd\tau - r_gdR}{r-r_g} \right)^2 - \frac{r_g}{r-r_g} (dR - cd\tau)^2 - r^2(d\theta^2 + \sin^2\theta d\phi^2) = \\ &= \frac{1}{r-r_g} \left[ \frac{1}{r} (rcd\tau - r_gdR)^2 - r_g(dR - cd\tau)^2 \right] - r^2(d\theta^2 + \sin^2\theta d\phi^2) = \\ &= \left[ c^2d\tau^2(r-r_g) - 2cdRd\tau\left(\frac{r_g r}{r} - r_g\right) - dR^2\left(\frac{r_g^2}{r} - r_g\right) \right] - r^2(d\theta^2 + \sin^2\theta d\phi^2) = \\ &= c^2d\tau^2 - \frac{r_g}{r}dR^2 - r^2(d\theta^2 + \sin^2\theta d\phi^2). \end{aligned}$$

From

$$r^{1/2}dr = r_g^{1/2}d(R - c\tau)$$

we have

$$\frac{2}{3}r^{3/2} = C + r_g^{1/2}(R - c\tau),$$

then choosing the constant of integration  $C = 0$  so that  $r = 0 \rightarrow R - c\tau = 0$ , we have

$$r = \left[ \frac{3}{2}r_g^{1/2}(R - c\tau) \right]^{2/3}.$$

Finally putting this into the metric in new coordinates we have

$$ds^2 = c^2d\tau^2 - \left[ \frac{2r_g}{3(R - c\tau)} \right]^{2/3} - \left[ \frac{3}{2}r_g^{1/2}(R - c\tau) \right]^{4/3} (d\theta^2 + \sin^2\theta d\phi^2),$$

we can see that the metric depends on  $\tau$ , which means that the gravitational field is non-stationary.

We can see that there is no singularity at  $r = r_g$ .

The equation  $ds^2 = 0$  for  $d\theta = d\phi = 0$  gives

$$c \frac{d\tau}{dR} = \pm \frac{1}{\left[ \frac{3}{2r_g}(R - c\tau) \right]^{1/3}} = \pm \sqrt{\frac{r_g}{r}}.$$

Two signs correspond to boundaries of light cone, i.e. "+" corresponds to outward photon and "-" corresponds to inward photon.

When  $r > r_g$  straight line  $r = \text{const}$  falls inside the light cone.

If  $r < r_g$  we have  $|cd\tau/dR| > 1$  so the line  $r = \text{const}$  lies outside light cone, which means no particles can be at rest in this region.

We see also that all world lines intersect the line  $r = 0$ . Thus  $r = r_g$  is event horizon and lies on light cone, hence event horizon is the null surface.

## The motion of a particle in the gravitational field of a Schwarzschild black hole

**The Hamilton-Jacobi equation again:**

$$g^{ik} \frac{\partial S}{\partial x^i} \frac{\partial S}{\partial x^k} - m^2 c^2 = 0,$$

where four-momentum  $p_i = -\frac{\partial S}{\partial x^i}$  and  $p_0 = E$  (energy),  $p_3 = L$  (angular momentum).

**It is possible to show that**

$$E \left(1 - \frac{r_g}{r}\right)^{-1} \frac{dr}{dt} = c \sqrt{E^2 - \mathbf{U}_{\text{eff}}^2},$$

where  $\mathbf{U}_{\text{eff}}$  is the “effective potential energy”:

$$\mathbf{U}_{\text{eff}}(r) = mc^2 \sqrt{\left(1 - \frac{r_g}{r}\right) \left(1 + \frac{L^2}{m^2 c^2 r^2}\right)}.$$

Here  $L$  is the angular momentum and  $m$  is the mass of a particle.

**$\mathbf{U}_{\text{eff}}$  can be used to find stable and unstable circular orbits.**

For given radius  $\mathbf{U}_{\text{eff}}$  is equal to the energy of a particle which has the turn point for this  $r$ , i.e.  $dr/dt = 0$ , thus the condition  $E > \mathbf{U}_{\text{eff}}$  determines the admissible range of the motion.

**All circular orbits are determined by simultaneous solution of the equations**

$$\mathbf{U}_{\text{eff}} = E \quad \text{and} \quad \frac{d\mathbf{U}_{\text{eff}}}{dr} = 0.$$

## Kerr Black Holes

The **Kerr metric** describing the gravitational field of rotating bodies has the following form:

$$ds^2 = \left(1 - \frac{r_g r}{\rho^2}\right) dt^2 - \frac{\rho^2}{\Delta} dr^2 - \rho^2 d\theta^2 - \left(r^2 + a^2 + \frac{r_g r a^2}{\rho^2} \sin^2 \theta\right) \sin^2 \theta d\phi^2 \\ + \frac{2r_g r a}{\rho^2} \sin^2 \theta d\phi dt,$$

where  $\rho^2 = r^2 + a^2 \cos^2 \theta$ ,  $\Delta = r^2 - r_g r + a^2$ , and  $a = \frac{J}{mc}$ , where  $J$  is the specific angular momentum.

For the Kerr metric  $g_{00} = 0$  gives

$$1 - \frac{r_g r}{\rho^2} = 0,$$

thus

$$r^2 - r_g r + a^2 \cos^2 \theta = 0,$$

$$\Delta = r^2 - r_g r + a^2 = 0,$$

and

$$r_{st} = \frac{1}{2} \left( r_g \pm \sqrt{r_g^2 - 4a^2 \cos^2 \theta} \right) = \frac{r_g}{2} \pm \sqrt{\left(\frac{r_g}{2}\right)^2 - a^2 \cos^2 \theta}.$$

The location of horizon in the Kerr metric:  $g^{11} = 0$  ( $g_{11} = \infty$ ) corresponds to

$$\Delta = r^2 - r_g r + a^2 = 0,$$

and

$$r = \frac{1}{2} \left( r_g \pm \sqrt{r_g^2 - 4a^2 \cos^2 \theta} \right) = \frac{r_g}{2} \pm \sqrt{\left(\frac{r_g}{2}\right)^2 - a^2 \cos^2 \theta}.$$

$$r_{hor} = \frac{r_g}{2} \pm \sqrt{\left(\frac{r_g}{2}\right)^2 - a^2}.$$

The region between the limit of stationarity and the event horizon is called the "ergosphere". By the Penrose mechanism it is possible to extract rotational energy of Kerr black hole.

## Kerr black holes and the Equivalence Principle

According to the Equivalence Principle the observer is not able to distinguish between the gravitational field and some non-inertial reference frame. In the case of the gravitational field described by the Kerr metric the corresponding non-inertial reference frame is the rotating one.

**Example. How to find its angular velocity?**

For  $dr = 0$  and  $d\theta = \pi/2$

$$\begin{aligned} ds^2 &= g_{00}c^2dt^2 + 2g_{03}cdtd\phi + g_{33}d\phi^2 = \\ &= g_{00}c^2dt^2 + g_{33}\left(d\phi + \frac{2g_{03}cdtd\phi}{g_{33}} + \frac{g_{03}^2}{g_{33}^2}\right)^2 - \frac{g_{03}^2}{g_{33}}c^2dt^2 = \\ &= \left(g_{00} - \frac{g_{03}^2}{g_{33}}\right)c^2dt^2 + g_{33}\left(d\phi + \frac{g_{03}}{g_{33}}cdt\right)^2 = \tilde{g}_{00}c^2dt^2 + g_{33}(d\phi - \Omega dt)^2, \end{aligned}$$

where

$$\Omega = -\frac{g_{03}}{g_{33}}.$$

The following transformation of coordinates

$$\tilde{g}_{00}t = \tilde{t}, \quad g_{33} = \tilde{r}^2, \quad \phi - \Omega t = \tilde{\phi},$$

brings the metric to the form

$$ds^2 = c^2d\tilde{t}^2 - \tilde{r}^2d\tilde{\phi}^2,$$

which is locally galilean metric. Hence locally the observer can not discriminate between the Kerr gravitational field and non-inertial frame of reference rotating with angular velocity  $\Omega$ .